

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) PTO Form 1449 (Modified) List of References	Attorney Docket No. 061165-0011-US	Serial No. 10/603,100
	Applicants Waelbroeck et al.	
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U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	7,136,834	Apr. 2001	Merrin et al.			

FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		EP Appln. No. 05729202 Supplementary European Search Report, 05-Feb-2008
		Domowitz I: "A Taxonomy of Automated Trade Execution Systems", Journal of International Money and Finance of Butterworth Scientific, Guildford, GB, vol. 12, 1993, pgs. 607-632
		Hendershott T: "Electronic Trading In Financial Markets", IT Pro, July/August 2003, pgs. 10-14

Examiner	Date Considered
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	